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1/22/03 AF/W E

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

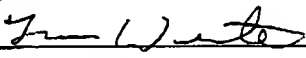
Approved for use through 10/31/2002. OMB 0651-0031

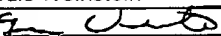
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/395,106	
	Filing Date	September 14, 1999	
	First Named Inventor	Westhoff et al.	
	Group Art Unit	3637	
	Examiner Name	Tran A, Phi Dieu N	
Total Number of Pages In This Submission	10	Attorney Docket Number	POL-PT010.1

ENCLOSURES (check all that apply)						
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):				
<table border="1" style="width: 100%;"> <tr> <td style="width: 20%;">Remarks</td> <td>OFFICIAL FACSIMILE</td> </tr> <tr> <td colspan="2">           SENT VIA FACSIMILE TO 703-305-3597.            PLEASE IMMEDIATELY DELIVER TO EXAMINER Phi Dieu Tran A,            GROUP ART UNIT 3637.         </td> </tr> </table>			Remarks	OFFICIAL FACSIMILE	SENT VIA FACSIMILE TO 703-305-3597. PLEASE IMMEDIATELY DELIVER TO EXAMINER Phi Dieu Tran A, GROUP ART UNIT 3637.	
Remarks	OFFICIAL FACSIMILE					
SENT VIA FACSIMILE TO 703-305-3597. PLEASE IMMEDIATELY DELIVER TO EXAMINER Phi Dieu Tran A, GROUP ART UNIT 3637.						

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Louis Weinstein Volpe and Koenig, P.C.	Reg. No. 20,477
Signature		
Date	January 8, 2003	

CERTIFICATE OF TRANSMISSION			
I hereby certify that this correspondence is being sent Via Facsimile (703-305-3597) addressed to:			
Examiner: Phi Dieu Tran A, Group Art Unit 3637, on this date:			January 8, 2003
Typed or printed name	Louis Weinstein		
Signature		Date	January 8, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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GROUP 3600

PTO/SB/17 (01-03)

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# FEE TRANSMITTAL

## for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)-0-

## Complete if Known

Application Number 09/395,106  
 Filing Date September 14, 1999  
 First Named Inventor Westhoff et al.  
 Examiner Name Tran A, Phi Dieu N  
 Art Unit 3637  
 Attorney Docket No. POL-PT010.1

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:

22-0493

Volpe and Koenig, P.C.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			-0-

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  - =  X  =   
 Independent Claims  - =  X  =   
 Multiple Dependent  - =  X  =

Large Entity		Small Entity		Fee Description
Fee Code (\$)	Fee	Fee Code (\$)	Fee	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	2804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1480 130	2480 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(a)	
1806 180	2806 180	Submission of Information Disclosure Stmt	
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(e))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

-0-

## SUBMITTED BY

Name (Print/Type)

Louis Weinstein

Registration No.

20,477

(Complete if applicable)

Telephone

215-568-6400

Signature

Date

January 8, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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GROUP 3600

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Westhoff et al.

Application No.: 09/395,106

Confirmation No.: 5428

Filed: September 14, 1999

For: MANHOLE INSERT FOR  
MANUFACTURE OF A CAST MEMBER AND  
TO PROVIDE A STEP INSERT HAVING  
INCREASED STRUCTURAL AND HOLDING  
STRENGTH

Group: 3637

Examiner: Tran A, Phi Dieu N

Our File: POL-PT010.1

Date: January 8, 2003

## REPLY PURSUANT TO 37 C.F.R. §1.116

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Commissioner for Patents

Washington, D.C. 20231

SA 4/3/03

Sir:

This Reply is responsive to the Final Office Action dated May 10, 2002 and to a telephone interview conducted with Examiner Tran A on January 3, 2003. Kindly amend the above-identified application as follows:

## IN THE CLAIMS

Amend claims 21 and 41 as follows:

~~Handwritten signature and text, mostly illegible due to heavy scribbling.~~